

## **Title 10. Investment**

### **Chapter 12. California Health Benefit Exchange**

#### **Article 1. California Health Benefit Exchange - Conflict-of-Interest Code**

##### **§ 6400. General Provisions**

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **California Health Benefit Exchange ("Exchange")**.

Designated employees shall file statements of economic interest with the Exchange. The Exchange shall make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the statements of Members of the Board and the Executive Director, the Exchange shall make and retain a copy and forward the original to the Fair Political Practices Commission. Members of the Board and the Executive Director may file electronically with the Fair Political Practices

Commission. Statements for all other designated employees will be retained by the Exchange.

Note: Authority cited: Government Code Sections 87302, 87302.6, 87303, 87306, 87307, 87309, 87310, 87311. Regulation: Title 2, Division 6 CCR Sections 18730, 18750.

Reference: Sections 18730, 18750

## APPENDIX A - DESIGNATED POSITIONS

DESIGNATED POSITION	DISCLOSURE CATEGORY
<b>COVERED CALIFORNIA BOARD</b>	
Board Members	1
<b>EXECUTIVE OFFICE</b>	
Executive Director	1
General Counsel	1
Chief Deputy Executive Directors	1
Chief Medical Officer	1
Deputy Chief Operations Officer	1
Director	1
Deputy Director	1
Staff Services Manager II Specialist	1
Staff Services Manager 4I Specialist	2
<b>EQUAL EMPLOYMENT OPPORTUNITY</b>	
Equal Opportunity Officer <u>Deputy Director</u>	1
Staff Services Manager I Specialist	1
<b>OFFICE OF LEGAL AFFAIRS</b>	
<del>Director</del> <u>Assistant General Counsel</u>	1
<u>Deputy Director</u>	1
All Attorneys	1
Staff Service Manager II	1
<u>Regulatory Compliance Manager</u>	1
<b>OFFICE OF THE OMBUDS</b>	
Director	1
<b><del>CUSTOMER CARE</del></b>	
<del>Director</del>	<del>1</del>
<del>Staff Services Manager II</del>	<del>1</del>
<b>INFORMATION TECHNOLOGY DIVISION</b>	
Chief Information Officer	1
Deputy, Chief Information Officer	1
Chief Technology Officer I	1
Chief, Data Management & Analytics	1
Chief, Network, Server and Cloud OPS	1
Information Security Officer	1
Lead Project Manager	1
Lead Business Specialist	1
Information Technology Manager II	1

Enterprise Project Management	1
Chief, Project Management and Business Analyses	1
Information Technology Specialist III	2
Information Technology Manager I	2
Senior Network and Application Engineers	2
Security Analyst	2
Senior Business Analyst	1

**POLICY, ELIGIBILITY, AND RESEARCH DIVISION**

Director	1
<del>Associate Deputy Director</del>	1
<del>Assistant Deputy Director</del>	1
Staff Services Manager III	1
Staff Services Manager II (Supervisory)	1
Health Program Specialist II	1
Senior Research Data Scientist	1
Federal Policy Specialist	1

**EXTERNAL AFFAIRS**

<del>Director</del>	1
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**FINANCIAL MANAGEMENT DIVISION**

Director	1
Deputy Director	1
Chief of Financial Planning	1
Research Scientist Manager	1
Staff Services Manager III	1
Budget Officer	2
Research Scientist Supervisor I	1

**BUSINESS SERVICES BRANCH**

Deputy Director	1
Assistant Deputy Director	1
<del>Administration/Health and Safety Section Manager</del>	1
Procurement Officer	1
Procurement Analyst	1
Contract Manager	1
Contract Analyst	1
Special Projects Liaison	1
Special Projects, AGPA	1
<del>Admin /Special Projects Manager</del>	1
Real Estate & Special Projects Manager	1
Real Estate Manager	1
Special Projects Manager	1
Procurement, Staff Services Manager I	1

**HUMAN RESOURCES BRANCH**

Deputy Director	1
Assistant Deputy Director	1
Labor Relations Manager	2
Labor Relations Officer	2
Labor Relations Specialist	2
Recruitment & Hiring Section Chief	2
Talent Acquisition Manager	2
Executive Talent Acquisition	2
Performance & Disability Section Chief	2

**PLAN MANAGEMENT DIVISION**

Director	1
Deputy Director	1
Chief Medical Officer	1
Medical Director	1
Pharmaceutical Consultant	1
Lead Plan Manager	1
Staff Services Manager III	1
Plan Manager	1
Contract Manager	1
Staff Services Manager, Certification and Contract Management	1
Lead Certification Program Specialist	1
Staff Services Manager II, Carrier Management	1
Staff Services Manager II, Analytics and Informatics	1
Staff Services Manager II (Managerial), Population Care	1

**EQUITY AND QUALITY TRANSFORMATION DIVISION**

Deputy Director	1
Senior Medical Director	1
Health Program Manager II	1
Medical Consultant I	1
Staff Services Manager II (Managerial)	1
Research Data Specialist III	1
Research Data Specialist II	1
Senior Quality Specialist	1
Senior Population Health Specialist	1

**OUTREACH AND SALES DIVISION**

Director	1
Deputy Director	1
Staff Services Manager III	1
Research Data Specialist II	2
Agent Team Manager	1
Certification Services Manager	1
Distribution Services Manager	1

Analytics Manager	1
Account Services Section Manager	2
<b><del>RANCHO CORDOVA SERVICE CENTER</del></b>	
Director	1
Deputy Director	1
<b><u>SACRAMENTO SERVICE CENTER</u></b>	
Staff Services Manager III	1
<del>Appeals Section Manager</del>	<del>1</del>
<del>Appeals Manager</del>	<del>2</del>
<b>FRESNO SERVICE CENTER</b>	
Staff Services Manager III	1
<b>SERVICE CENTER SUPPORT SERVICES</b>	
Staff Services Manager III	1
<b><u>CONSUMER RELATIONS AND RESOLUTION</u></b>	
Consumer Relations and Resolution Branch Chief	1
Appeals Section Manager	1
Appeals Manager	2
<b>PROGRAM INTEGRITY DIVISION</b>	
Director	1
Deputy Director	1
Staff Services Manager III	1
Staff Services Manager II	2
Quality Review Auditor	1
<del>Health Program Specialist I, Fraud and Risk Lead</del>	<del>1</del>
Consumer Protection and Fraud Risk Manager	1
Senior Program Manager	2
<b>COMMUNICATIONS AND <del>PUBLIC RELATIONS</del> <u>EXTERNAL AFFAIRS</u></b>	
Director	1
Deputy Director	1
Staff Services Manager II	1
Staff Services Manager I	2
<b>MARKETING DIVISION</b>	
Director	1
Deputy Director	1
Staff Services Manager III	1
Staff Services Manager II	1
Content Marketing Lead	2
<del>Staff Services Manager II (Managerial), Division Consultant</del>	<del>1</del>

**COVERED CALIFORNIA UNIVERSITY**

Deputy Director	1
Assistant Deputy Director	1
Staff Services Manager II	2
Operations Manager	2

Consultants/New positions \*

\* Consultants/New Positions and individuals serving in new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant/new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the duties and, based on that description, a statement of the extent of the disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code, § 81008.)

## CALIFORNIA HEALTH BENEFIT EXCHANGE

### APPENDIX B- Disclosure Categories

1. Designated employees shall disclose all investments and business positions in business entities, and income, including gifts, loans, and travel payments, from the following:

- Health insurance carriers.
- Health insurance agents or brokers.
- Health care providers.
- Health care facilities or health clinics.
- Pharmaceutical companies.
- Medical device or equipment manufacturers or distributors.
- Pharmacy benefit management companies.
- Third party administrators (health claims only)
- Trade associations of health insurance carriers, health insurance agents or brokers, health care providers, health care facilities or health clinics, pharmaceutical companies, and medical device or equipment manufacturers or distributors.
- Non-profit foundations or endowments formed or funded by health insurance carriers or any other health care entities listed herein.
- Organizations representing individuals with specific medical conditions.
- Information technology consulting firms.



- Sources of the type to provide goods, equipment, materials, supplies, and information technology or telecommunication products to the California Health Benefit Exchange.
- Sources of the type to provide personal services to the California Health Benefit Exchange, including, but not limited to, health care and insurance research consulting firms.
- Sources of the type to receive funding from or through the California Health Benefit Exchange.

2. Designated employees shall disclose investments and business positions in business entities, and sources of income, which provide goods, equipment, materials, supplies, and information technology or telecommunication products of the type used by the California Health Benefit Exchange.